

# LKP

Since 1948

January 23, 2017

To

**Dy. Manager**

**Dept. of Corporate Services**

B S E Limited

P. J. Towers,

Dalal Street, Fort,

Mumbai 400 001

Dear Sir/Madam,

**Scrip code: 540192**

Sub: unaudited financial results of the quarter and nine month ended on December 31, 2016

Pursuance to the Regulation 33 of SEBI (Listing Obligation and Disclosure requirements) Regulations, 2015, this is to inform you that at the Board of Directors meeting of the Company held on 23<sup>rd</sup> January, 2017; the Board of Directors of the Company reviewed and approved the unaudited financial results of the 3<sup>rd</sup> quarter ended December 31, 2016.

In this connection we enclosed herewith the said financial results and Limited review report.

You are requested to take the above on record and bring this to the notice of all concerned.

Thanking you.

Yours faithfully,

**For LKP Securities Limited**



**Dimple Chopra**

**Asst. Company Secretary**



## LKP Securities Ltd.

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LKP Securities Ltd. SEBI Reg. No.: NSE:INB/F/E 230720030, MCX-SX : INE 260720030, BSE: INB/F 010675433, ARN: 31751, DP : IN-CDSL-206-2003

## LKP SECURITIES LIMITED

## UNAUDITED FINANCIAL RESULTS FOR THE QUARTER &amp; NINE MONTHS ENDED 31ST DECEMBER, 2016

[In Lacs]

PARTICULARS	Quarter Ended		Period Ended	Previous Year Ended	(Unaudited) Consolidated for Nine Months ended 31-Dec-2016
	(Unaudited)	(Audited)	(Unaudited)	(Audited)	
	31-Dec-2016	30-Sep-2016	31-Dec-2016	31-Mar-2016	
1 Income from operations	1,495.6	1,645.4	4,723.4	5,637.2	4,726.8
2 Other income	65.5	37.1	154.7	196.6	154.7
3 Total Income (1+2)	1,561.1	1,682.5	4,878.1	5,833.8	4,881.5
4 Expenditure					
(a) Employees Cost	559.0	708.8	1,928.9	2,701.8	1,929.6
(b) Depreciation	32.3	31.7	95.9	135.9	95.9
(c) Other expenditure	743.6	707.7	2,265.7	2,765.6	2,268.8
(d) Total	1,334.9	1,448.2	4,290.5	5,603.3	4,294.3
5 Interest	236.3	220.2	699.5	345.7	699.5
6 Exceptional Items	-	-	-	-	-
7 Profit(+)/Loss(-) before tax (3) - (4+5+6)	(10.0)	14.1	(111.9)	(115.2)	(112.3)
8 Tax expense	(9.8)	(3.0)	(12.8)	27.9	(12.8)
9 Net Profit(+) / (Net Loss) (-) for the period (7-8)	(0.2)	17.1	(99.1)	(143.1)	(99.5)
10 Paid-up Equity Share Capital	1,464	1,464	1,464	525	
Face Value of the Shares	2	2	2	2	
11 Reserves excluding revaluation reserves as per balance sheet of previous accounting year	-	-	-	(481.03)	
12 Earning Per Share (EPS) (Face Value of Rs.2)					
- Basic	(0.00)	0.02	(0.14)	(0.55)	
- Diluted	(0.00)	0.02	(0.14)	(0.55)	

1 The above unaudited financial results for the quarter and nine months ended December 31, 2016 (as per Regulation 33 of the SEBI Listing obligation and Disclosure Requirements Regulation, 2015) have been subject to a limited review by the auditors and approved by the Board of Directors of the company at its meeting held on 23rd January, 2017.

2 The Company being engaged in stock broking activities and other financial services therefore segment reporting is not given.

3 As per the Scheme of arrangement filed by the Company and LKP Finance Ltd, the SEBI Registered Intermediaries business of LKP Finance Ltd was transferred to the Company. The Scheme was approved by the Honourable Bombay High Court. As per the Scheme, the Company on 8<sup>th</sup> July 2016, allotted six equity shares of Rs 2/- each fully paid up to the shareholders of LKP Finance Ltd for every share held by them in LKP Finance Ltd as on the record date 7th July, 2016. Accordingly the Company issued 7,31,83,896 equity shares of Rs 2/- each. The present equity capital of the Company is Rs 14,64,42,592/- comprising 7,32,21,296 equity shares of Rs 2/- each. The shares of the Company have been listed on the BSE w.e.f. December 01, 2016. The Company ceased to be a Subsidiary of LKP Finance Ltd on 08<sup>th</sup> July 2016.

4 Financials for the quarter ended and nine months ended on December, 2015 have not been provided as they were not subjected to limited review by the Auditors.

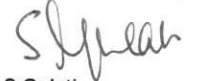
Particulars	Nine months ended 31.12.2016
INVESTOR COMPLAINTS	
Pending at the beginning of the quarter	NIL
Received during the quarter	NIL
Disposed of during the quarter	NIL
Remaining unresolved at the end of the quarter	NIL

For LKP SECURITIES LTD



Pratik M Doshi  
Managing Director  
DIN 00131122

For LKP SECURITIES LTD



S S Gulati  
Director  
DIN 02404230

Mumbai  
Date: 23rd January, 2017

# FORD RHODES PARKS & CO LLP

## CHARTERED ACCOUNTANTS

(Formerly Ford, Rhodes, Parks & Co.)

SAI COMMERCIAL BUILDING  
312/313, 3RD FLOOR,  
BKS DEVSHI MARG,  
GOVANDI (EAST),  
MUMBAI - 400 088.

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              : (91) 22 67979820  
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To  
B SE Ltd.  
Mr. S. Subrarnanian  
DCS-CRD, P. J. Towers,  
Dalal Street, Fort,  
Mumbai 400 001

Dear Sirs,

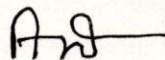
**Sub: Limited Review of LKP securities Limited**  
**Re : Unaudited Financial Results for Third Quarter ended December 31, 2016.**

We have reviewed the accompanying statement of unaudited financial results of LKP Securities Limited for the quarter ended December 31, 2016 except for the disclosures regarding 'Public Shareholding' and 'Promoter and Promoter Group Shareholding' which have been traced from disclosures made by the management and have not been audited by us. This statement is the responsibility of the Company's Management and has been approved by the Board of Directors/ Committee of Board of Directors. Our responsibility is to issue a report on these financial statements based on our review.

We conducted our review in accordance with the Standard on Review Engagement (SRE) 2400, engagements to Review Financial Statements issued by the Institute of Chartered Accountants of India. This standard requires that we plan and perform the review to obtain moderate assurance as to whether the financial statements are free of material misstatement. A review is limited primarily to inquiries of company personnel and analytical procedures applied to financial data and thus provides less assurance than an audit. We have not performed an audit and accordingly, we do not express an audit opinion.

Based on our review conducted as above, nothing has come to our attention that causes us to believe that the accompanying statement of unaudited financial results prepared in accordance with applicable accounting standards and other recognised accounting practices and policies has not disclosed the information required to be disclosed in terms of Regulation 33 of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 including the manner in which it is to be disclosed, or that it contains any material misstatement.

For FORD RHODES PARKS & CO. LLP  
Chartered Accountants  
ICAI FRN No.102860W/W100089



A.D. Shenoy  
Partner  
Membership No. 11549



Place: Mumbai  
Date: January 23, 2017