



Branch Code - ( \_\_\_\_\_ )



Date:-  
From  
Name:- Mr. / Ms.

Address:-

Contact No:-

Email ID:-

PAN No -

To,  
LKP Securities Limited  
Mumbai -400021  
Sub :- Referrer Scheme

Dear Sir,

I would like to join as a referrer with LKP Securities Limited. And I accept the following terms and condition.

	Referrer
Sharing Ratio	100% COMMISSION

Kindly consider the application and process the referrer scheme

Enclosed:-

PAN Card  
Copy of address proof  
Cancelled Cheque  
Passport Size Photo.  
Annual Accounts or Networth Certificate

For LKP SECURITIES LIMITED

FOR Mr. /Ms.

AUHTORISED SIGNATORY (Head office)

Signature

**TERM-SHEET BETWEEN**  
**LKP AND INTRODUCER**

The appointment of Introducer is made at \_\_\_\_\_ on the \_\_\_\_ Day of \_\_\_\_\_, 2020 between LKP Securities Ltd. A company incorporated under the Companies Act, 1956 /2013 and having its Correspondence office at 1303-04, Raheja Centre, Nariman Point, Mumbai - 400 021. (herein after referred to as "LKP", which expression shall, unless it be repugnant to the subject or context thereof, include its successors and assigns) of the First Part

And

\_\_\_\_\_ a / Individual/ Company registered under Company's Act ..... and having its place of business or Registered Office / Residence Address at \_\_\_\_\_ hereinafter referred to as "Introducer" (which expression shall unless repugnant to the context and meaning thereof deem to mean and include the successors and permitted assigns as the case may be) of the SECOND PART.

(The Introducer and LKP are hereinafter jointly referred to as "Parties" and individually as the "Party".)

**WHEREAS**

LKP is inter alia engaged in the business of providing Financial services and Investment advisory services AND Introducer is inter-alia engaged in the business of .....

**Commission**

The Introducer has represented to LKP that it/he/she shall provide to LKP references or introduce potential clients who may be interested in availing the services offered by LKP such that LKP may establish a business relationship with such potential clients. Subject to compliance with the terms of this Agreement, LKP will pay the Introducer a commission payment equal to the amount stated in the annexure B (Details of introducer and commission details). The commission is payable within 45 days of completion of the formalities. The Fees payable are to cover all costs and expenses incurred by the Introducer under this Agreement. All fees are deemed to be inclusive of tax which may be payable. The fee terms will be reviewed and may be amended by LKP at the end of the first anniversary and thereafter annually on the anniversary of the date of this agreement. Any amendments shall be notified to the Introducer promptly in writing.

**Interpretation**

Relying on the representations of the Introducer, LKP has agreed to accept the offer of the Introducer and appoint the Introducer for the aforesaid functions on certain terms and conditions.

Where information or data has been or is in future passed on by the Introducer, the Introducer confirms that they will undertake that the applicant/Client has, or will have, given his consent for LKP to process such information or data in such manner as it reasonably sees fit.

**CONFIDENTIALITY**

The Introducer undertakes that the Introducer will not use any Confidential Information for any other purpose except to provide its Services to LKP as provided for under this Agreement. The Parties further agrees not copy or reproduce in any manner whatsoever the Confidential Information of the Parties or any part thereof without the prior written consent of the other Party, except where required for its own internal use in accordance with this Agreement.

**TERM AND APPOINTMENT**

LKP hereby appoints the Introducer on a non-exclusive basis for carrying out the functions as provided for in this Agreement and as mutually agreed from time to time. Any communication or direction given by LKP in terms of this Agreement to such authorized person(s) shall be binding on the Introducer. This agreement shall be perpetual in nature commencing from the Effective Date and unless earlier terminated by either party as provided herein by giving 30 days prior notice in writing.

1. The Sharing ratio will be shared as per Terms finalised between Mr. / Ms. \_\_\_\_\_ and LKP Securities Ltd for (NSE /BSE /MCX-SX) segments. Brokerage will be given on every 7th of next month provided the Trial balance all the Clients of Mr. / Ms./ \_\_\_\_\_ shows credit balance.
2. Mr. / Ms. \_\_\_\_\_'s Client codes will be generated from the Head office ends.
3. Mr. / Ms. \_\_\_\_\_ will be responsible for completing all Client registration formalities for all clients being introduced by him and it will be responsibility of Mr. / Ms. \_\_\_\_\_ to strictly adhere to the rules and regulation and bylaws.
4. Mr. / Ms. \_\_\_\_\_ will not be entitled to adjust the Dr./Cr. Of the one client with any other client.
5. Mr. / Ms. \_\_\_\_\_ client position will be monitored at Branch end and if clients MTM reaches to 80% Position will be squared off automatically ay Head office Mumbai.
6. Mr. / Ms. \_\_\_\_\_ Clients limits will be given bases on LKP RMS Policy.
7. Mr. / Ms. \_\_\_\_\_ will be responsible for clearing Debits of clients and all other activities.
8. Mr. / Ms. \_\_\_\_\_ will bear all the debits of clients before signing Closure Agreement with LKP.

**DISPUTES, COMPLAINTS AND INVESTIGATIONS**

For the purposes of responding to enquiries from any regulator, or investigating a complaint from the Client, both the Introducer and LKP shall cooperate fully with each other, disclosing all relevant files, documents and papers, and permitting copies to be taken.

**TERMINATION OF INTRODUCER AGREEMENT**

The Agreement shall continue until terminated by LKP or the Introducer On not less than 30 days written notice;

Termination of this Agreement will not prejudice the rights of LKP or the Introducer with regards to any application already being processed or having been offered before notification of termination is given and payment of any fees due as the date of termination or any later date.

IN WITNESS WHEREOF, this Agreement has been executed by the Parties hereto on the day and year first above written.

Signed and Delivered by \_\_\_\_\_ the within named Introducer.

In the presence of witnesses:

1. \_\_\_\_\_
2. \_\_\_\_\_

\_\_\_\_\_  
X Signature of Introducer