

E-mail to be sent from registered e-mail id of client

Date :

To,

LKP Wealth Advisory Limited
Raheja Centre,13th Floor,
Nariman Point,
Mumbai - 400 021
Investment Advisory Regn no. **INA000009861**

Re: Undertaking cum Declaration.

Dear Sir,

Sub : Open Investment Advisory account _ SPIP

I/We _____ PAN No. _____ residing/office at
_____ intend to open an account with LKP Wealth Advisory Ltd for investment advisory - SPIP (Product).

Due to COVID-19 virus occurrence, I /we am/are unable to provide kyc documents, agreement and risk disclosure in hard form.

Therefore, we request you to kindly accept this e-mail contents/undertaking from my registered e-mail id as consent for opening investment Advisory account with LKP Wealth Advisory Ltd - SPIP (Product). Copies of PAN, Address proof and risk assessment sheet which are mandatory as per regulations are attached herewith as part of supporting of kyc documents.

I/We hereby confirm/declare that :

1. I/We confirm that i have agreed to enter in investment advisory agreement on the basis of this irrevocable undertaking.
2. I/We shall provide Executed /signed Physical agreement, supporting document along with kyc form within 7 working days after lockdown is over which is lifted by the government authority
3. I/We further confirm that I/we have read and understood the contents of agreement, terms and conditions and contents of additional Risk Disclosure Documents which is available on website and received by me via e-mail.
4. I Further confirmed that lkp advisory have also informed us all of the below major terms and conditions i.e.
 - This Agreement that has been communicated to you via e-mail mode and has also been made available on the website shall be deemed to be a Service between LKP wealth and you for availing the advisory services or such other services as are / may be incidental and ancillary thereto from LKP Wealth Advisory Ltd.
 - LKP Wealth advised us to read this Agreement before availing / utilizing the services of LKP Wealth and using any material, information or services. And LKP Wealth informs us if you do not agree with the terms of this Agreement, please do not avail the services of LKP wealth and it shall be deemed that any use without agreeing to the terms of this Agreement is unlawful.

- LKP Wealth shall begin services only on and from the date of realization of payment of advisory fee and investment amount through online transfer and needs to complete Bank mandate process after lockdown is over.
- LKP Wealth shall maintain the "Know Your Client" (KYC) records of all its clients. For the maintenance / upkeep of these records, it's essential that you cooperate with LKP Wealth in providing the required information / documents, failing which the service/s being rendered to you will be put to a temporary hold, till the date of receipt of such information / documents.

I further undertake and confirmed that LKP has on my request agreed to open the Investment advisory account SPIP, based on my confirmation through this email. I also undertake to indemnify LKP for losses or damages cost to them due to any of my/our omissions with regards to opening of this accounts.

I do here by confirmed and declare that all the information shared by me via this register email is full to my knowledge and I understand that any disclosure of any false information by me / us will lead to appropriate action under the applicable laws

Thanking You,
Yours truly,

Client name and pan no.

Encl: PAN, Address proof, Risk profile sheet.

RISK PROFILING - ASSESSMENT (Select any one option)

The information provided by you regarding your life indicates your risk taking capacity as:

- a. Very low
- b. Low
- c. Average
- d. High
- e. Very high

Your responses imply your attitude towards risk as:

- a. Very low
- b. Low
- c. Average
- d. High
- e. Very high

Your investment risk profile is

A) Conservative

Your primary investment goal is capital protection. You require stable growth and/or a high level of Income, and access to your investment within 3 years.

B) Cautious

Your primary investment goal is capital protection. Investors in this risk profile require fairly stable growth and/or a moderate level of income" Your investment term is 3 years or more.

C) Moderate

Your primary investment goal is capital growth. You can tolerate some fluctuations in the value of your investment in the anticipation of a higher return. You don't require an income and you are prepared to invest for 5 years or more.

D) Moderately aggressive

Your primary investment goal is capital growth. Investors in this risk profile can tolerate a fair level of fluctuations in the value of your investment in anticipation of possible higher returns. You don't require an income and you are prepared to invest for 5 to 10 years.

E) Aggressive

Your primary investment goal is long-term capital growth. You can tolerate substantial fluctuations in the value of your investment in the short-term in anticipation of the highest possible return over a period of 10 years or more.

Date

Signature of the Applicant

Executive -