

# LKP

Since 1948

January 04, 2019

To,

**The Secretary**

**BSE Limited**

Corporate Relationship Department,  
1<sup>st</sup> Floor, New Trading Ring, Rotunda Building,  
P J Tower, Dalal Street,  
Fort, Mumbai 400001  
[Corp.relations@bseindia.com](mailto:Corp.relations@bseindia.com)

Scrip Code: **540192**

**Sub: Quarterly report of Investor Complaints For the quarter ending December 31, 2018**

Dear Sir,

Pursuant to Regulation 13 of the Securities and Exchange Board of India (Listing Obligation and Disclosure Requirements) Regulations, 2015, please find below the quarterly report of Investors complaints for the quarter ended December 31, 2018.

No. of investors complaints pending at the beginning of the quarter	Received during the quarter	Disposed during the quarter	Unsolved at the end of the quarter
Nil	NIL	NIL	Nil

This is for your information and record.

Thanking you.

Yours Sincerely,

**For LKP Securities Limited**

*Siddharth Mehta*  
**Siddharth Mehta**

**Company Secretary**



## LKP Securities Ltd.

Regd Off : 203 Embassy Centre, Nariman Point Mumbai - 400021. Phone : 022 - 2282 8234, Fax : 022 - 2284 2415

Head Off : 1303 - 04, 13th Floor, Raheja Centre, Free Press Journal Marg, Nariman Point, Mumbai - 400021.

Tel.: +91 22 6635 1234 • Fax : +91 22 6635 1249 • Website : [www.lkpsec.com](http://www.lkpsec.com) CIN No : U67120MH1994PLC080039

LKP Securities Ltd. SEBI Reg. No.: NSE:INB/F/E 230720030, MCX-SX: INE 260720030, BSE: INB/F 01067.433, ARN: 31751, DP: IN-CDSL-206-2003



**adroit**  
CORPORATE SERVICES PVT. LTD.

5<sup>th</sup>, JANUARY, 2019

LKP SECURITIES LIMITED RS. 2/-  
404 EMBASSY CENTRE  
NARIMAN POINT  
MUMBAI 400002

Kind Attn: COMPANY SECRETARY

Dear Sir(s),

**Subject: Status of the Complaints Received & Redressed for the Quarter ended DECEMBER 31, 2018**

With reference to the above, we give below the details of complaints received and redressed during the period i.e. **OCTOBER 01, 2018 To DECEMBER 31, 2018**

Sr. No.	Particulars	Pending at the beginning of the Quarter	Received during the Quarter	Disposed of during the Quarter	Remaining unresolved at the end of the Quarter
1	Non-receipt of Share Certificate after Transfer	0	NIL	NIL	0
2.	Non-receipt of Dividend Warrant	0	NIL	NIL	0
3.	Non-receipt of Annual Report	0	NIL	NIL	0
4.	Grievance Received through SCORES	0	NIL	NIL	0
5.	Grievance Received through SCORES-Non receipt of Dividend	0	NIL	NIL	0
6.	Grievance Received through SCORES-Non receipt of Share Certificate after Transfer	0	NIL	NIL	0

Thanking you.

Yours faithfully,  
For Adroit Corporate Services Pvt. Ltd.




Authorized Signatory